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ATTORNEY DOCKET NO. FIRST NAMED INVENTOR **FILING DATE** APPLICATION NO. GC507-2 N BECKER 12/18/98 09/215,095 **EXAMINER** HM12/0725 BORIN, M KIRSTEN A ANDERSON GENENCOR INTERNATIONAL INC PAPER NUMBER **ART UNIT** 925 PAGE MILL ROAD 1631 13 PALO ALTO CA 94304-1013 07/25/00 DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 

## Office Action Summary

Application No. 09/215,095

Applicates

Becker et al

Examiner

M. Borin

Group Art Unit 1631



X Responsive to communication(s) filed on Jun 30, 2000	
<ul> <li>☑ This action is <b>FINAL</b>.</li> <li>☑ Since this application is in condition for allowance except for formula.</li> </ul>	nal matters, prosecution as to the merits is closed
A shortened statutory period for response to this action is set to exis longer, from the mailing date of this communication. Failure to reapplication to become abandoned. (35 U.S.C. § 133). Extensions 37 CFR 1.136(a).	espond within the period for response will cause the of time may be obtained under the provisions of
Disposition of Claims    Claim(s) 1-65	is/are pending in the application.
	is/are withdrawn from consideration.
Of the above, claim(s) <u>4, 12-22, 26, and 34-56</u>	is/are allowed.
Claim(s)	is/are rejected
☐ Claim(s) 1-3, 5-11, 23-25, 27-33, and 57-65	is/are objected to
Claim(s)	is/are objected to:
Claim(s)	are subject to restriction of election requirement.
See the attached Notice of Draftsperson's Patent Drawing R  ☐ The drawing(s) filed on	to by the Examiner.  isapproveddisapproved.  der 35 U.S.C. § 119(a)-(d).  ne priority documents have been  er)  ternational Bureau (PCT Rule 17.2(a)).
Attachment(s)  Notice of References Cited, PTO-892  Information Disclosure Statement(s), PTO-1449, Paper No.  Interview Summary, PTO-413  Notice of Draftsperson's Patent Drawing Review, PTO-948  Notice of Informal Patent Application, PTO-152	
SEE OFFICE ACTION ON TI	HE FOLLOWING PAGES

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**DETAILED ACTION** 

The request filed on 06/30/2000 for a Continued Prosecution Application (CPA) under 37

CFR 1.53(d) based on parent Application No. 09/215095 is acceptable and a CPA has been

established. An action on the CPA follows.

Status of the claims

Claims 1-65 are pending. 1.

As reflected in the previous Office action, applicant elected, without traverse, Group II, claims

1,2,6-11 (all in part), claims 3,5, claims 23, 24, 28-33 (all in part), claim 25,27, drawn to granules

combining a protein, a disaccharide, and a polysaccharide. Newly submitted claims 57-65 are also

included into the elected Group. Claims 4,12-22,26,34-56 are withdrawn from further consideration

by the examiner, 37 CFR 1.142(b) as being drawn to a non-elected groups. Cancellation of claims

4,12-22,26,34-56, and amendment of claims 1,2,6-11, 23, 24, 28-33 to read on elected invention are

requested.

Claim Rejections - 35 USC § 102 and 103.

The following is a quotation of the appropriate paragraphs of 35 U.S.C.102 that form the 2.

basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

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(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States...

The following is a quotation of 35 U.S.C. § 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(f) or (g) prior art under 35 U.S.C. 103(a).

3. Claims 1-3,5-11,23-25,27-33, 57-65 are rejected under 35 U.S.C. 102(b) as anticipated by Kiesser et al. (US Patent 5,739,091)

## Kiesser

Kiesser et al. teach enzymer granules. The granules comprise enzyme or enzyme mixture, sugars, such as glucose, and a filler, such as cellulose. See column 1, lines 31-39, 60-67, column 2, line 66 to col. 3, line 4. The granules may further comprise binders, such as polyethyleneglycol. See col. 2, lines 16-24. The granules may be covered with a protective coating (col.4, lines 5-11). The

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coating can contain sugars (col. 4, lines 8-10) or polyethyleneglycol (col.4, line 46). The granules may be prepared by layering the enzyme around dry pre-mix. See col. 4, lines 21-24.

It is the Examiners position that all the elements of Applicant's invention with respect to the specified claims are instantly disclosed by the teaching of the reference cited above.

4. Claims 1-3, 5,6, 23-25,27,28, 57-65 are rejected under 35 U.S.C. 102(b) as anticipated by Scott (EP 272923).

## Scott

Scott teaches granules including enzyme(glucose oxidase), sugar (glucose), low molecular weight polysaccharide (e.g., cellulose), and optionally synthetic polymer (e.g., polyethylene glycol). See abstract, p.5, lines 7-13,; p. 2, lines 43-54.

It is the Examiners position that all the elements of Applicant's invention with respect to the specified claims are instantly disclosed by the teaching of the reference cited above.

5. Claims 1, 7-11, 23, 29-33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Scott et al. The reference is used as applied to claims 1-3, 5,6, 23-25,27,28, 57-65 in the preceeding paragraph. The reference does not teach forming a granule over a seed particle and the presence of a coating layer over the granule. If there are any differences between Applicant's claimed methods and that of the prior art, the differences would be appear minor in nature. Although Scott does not not teach protein core layered over a seed particle and coating the particle, it would be conventional

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and within the skill of the art to prepare such granule because the techniques of using a seed particle

for the intended purpose of forming a granule and coating the granule to protect its content are well

known in the pharmaceutical art, and are within the skill in the art to which this invention pertains.

6. Claims 1-3, 5,6-11,23-25,27-33, 57-65 are rejected under 35 U.S.C.103(a) as obvious over

Martussen (EP 304332).

Martussen

Martussen teaches enzyme granules comprising an enzyme core surrounded by a coating

comprising cellulose or artificial binders. The granule further comprises a binder, such as polyvinyl

pirrolidone, cellulose derivatives, etc., and a granulating agent, such as polyglycols. See abstract,

pages 2-3. The referenced granule does not contain sugar and polysaccharide. However, addition

of such ingredients would be prima facie obvious when the enzyme granulates are to be used as

nutrient additives, because the reference teaches that in such cases the core could contain sugar, or

starch, or protein. See p. 2, lines 32-34.

Further, in regard to claims 7,9,29,31, if there are any differences between Applicant's claimed

methods and that of the prior art, the differences would be appear minor in nature. Although the

prior art does not not teach protein core layered over a seed particle, it would be conventional and

within the skill of the art to prepare such granule because the techniques of using a seed particle for

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the intended purpose of forming a granule is well known in the pharmaceutical art, and are within the

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skill in the art to which this invention pertains.

Prior art made of record

7. The prior art made of record and not relied upon is considered pertinent to applicant's

disclosure: EP 501375, EP 336231, US 5,814,501, WO 97/23606.

Conclusion.

8. No claims are allowed.

9. This is a CPA of applicant's earlier Application No. 09/215095. All claims are drawn to the

same invention claimed in the earlier application and could have been finally rejected on the grounds

and art of record in the next Office action if they had been entered in the earlier application.

Accordingly, THIS ACTION IS MADE FINAL even though it is a first action in this case. See

MPEP § 706.07(b). Applicant is reminded of the extension of time policy as set forth in 37

CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS

from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the

mailing date of this final action and the advisory action is not mailed until after the end of the

THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the

date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be

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calculated from the mailing date of the advisory action. In no, however, event will the statutory

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period for reply expire later than SIX MONTHS from the mailing date of this final action.

10. Any inquiry concerning this communication or earlier communications from the examiner

should be directed to Michael Borin whose telephone number is (703) 305-4506. Dr. Borin can

normally be reached between the hours of 8:30 A.M. to 5:00 P.M. EST Monday to Friday. If

attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mr. Michael

Woodward, can be reached on (703) 308-4028. The fax telephone number for this group is (703)

305-3014.

Any inquiry of a general nature or relating the status of this application should be directed to

the Group receptionist whose telephone number is (703) 308-0196.

July 21, 2000

mlb

MICHAEL BOTH, FILD PATENT EVALUATION